## **EXHIBIT 2**

### ORIGINAL

MISSOURI CIRCUIT COURT

TWENTY-FIRST JUDICIAL CIRCUIT

ST. LOUIS COUNTY

LIVINGSTON HAMPTON, et al.,

----X

Plaintiffs,

vs.

New York.

MONSANTO CO., et al.,

Defendants.

Case No. 10SL-CC03428

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630 Third Avenue New York, New York

December 13, 2011 9:00 a.m.

Videotaped Deposition of DAVID ROSNER, before Shari Cohen, a Notary Public of the State of

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Court Reporting

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- different kinds of issues that were raised in our
- 2 chronology.
- 3 O. So these documents are all either letters
- 4 or memos or other documents that are listed in the
- 5 chronology?
- 6 A. That's right.
- 7 Q. You are not an expert in the historical
- 8 developments or the evolution of analytical chemistry
- 9 as a science, are you?
- 10 A. No, I have studied at different moments
- 11 aspects of the history of chemistry and history of
- chemistry in industry, but I would not consider myself
- an expert on the science of evolution of chemical
- 14 ideas.
- 15 O. Your resume lists over 40 books or
- 16 portions of books that you have written?
- A. Well, we've written I guess 10 or 11
- books and many, many articles, but not 40 books I
- 19 don't think.
- Q. My question was that your resume lists
- over 40 books or portions of books that you have
- 22 written?
- 23 A. You mean like edited collections and
- 24 articles in edited collections I quess so. I haven't
- counted them, but that might be true, chapters in

- 1 need to substantially reduce what we have now?
- 2 A. That's right.
- Q. And do you have a view that at least in
- 4 part you can assist in reversing that by being an
- 5 expert witness in environmental cases and cases where
- 6 there are injured people?
- 7 MR. JENSEN: Objection to form.
- 8 A. I'm not quite sure what the question is.
- 9 Do I -- say that again.
- 10 Q. Let me ask it more openly. Do you see
- any role that you can play as an expert witness that
- might counter what's going on with the decapitating of
- these agencies like OSHA and EPA?
- 14 MR. JENSEN: Objection to form.
- 15 A. I don't see my role necessarily in
- relationship to OSHA and EPA. I see my role as a
- 17 citizen, someone who's been involved in public health
- 18 for 30 years as a professor of public health and who
- is concerned about the health of the American public
- and who sees the court cases as an important arena
- 21 within which Americans can try to control their
- 22 environment and which I as someone who has a certain
- kind of expertise have an obligation almost to
- 24 participate.
- Q. Let's switch gears. Do you agree that

Page 57
1 PCBs "durability and resistance to fire made PCBs
2 excellent dielectric fluids for use in high
3 temperature electrical transformers"?
4 A. This again is what the industry said
5 historically going back to the 1950s and forties. I'm
6 not an electrical engineer. I cannot judge that. I
7 cannot say that this is absolutely the reason why they
8 are adapted, I just don't know.
9 Q. Do you recall preparing an Affidavit in
10 this case?
11 A. Yes.
MR. CARNEY: Mark this as Defendant's
13 Exhibit 8.
14 (Defendant's Exhibit 8, Affidavit,
marked for Identification.)
Q. Do you recognize that as an Affidavit you
17 prepared in this case?
18 A. Yes.
MR. JENSEN: I just want the record to be
clear that what I think is happening is that we
are numbering consecutively from yesterday's
deposition of Dr. Markowitz for purposes of
this deposition today?
MR. CARNEY: Yes. Some of them overlap.
MR. JENSEN: That's fine. I think it

Page 123					
workers throughout the United States?					
MR. JENSEN: Objection to form.					
3 A. During what period of time?					
Q. Over the last 30 or 40 years?					
5 A. Since the 1970s, eighties, seventies and					
6 eighties?					
7 Q. Yes.					
8 A. I'm sure there have been. I haven't					
9 followed those.					
Q. Are you aware of any let me ask you					
11 this. What would be the value of studying workers					
12 such as PCB workers who were exposed to the product					
13 day in and day out for decade?					
A. What would be the value if there were any					
obvious unpredictable occurrences of disease you could					
16 tell whether or not workers were being put in danger.					
Q. Are you aware of any such epidemiology					
18 studies among the dozens or so studies that have					
19 studied PCB workers where they have concluded that					
20 PCBs were causing any kind of adverse health impacts?					
MR. JENSEN: Objection to form and					
22 foundation.					
A. Again, that's really outside my					
24 expertise. I'm really not following that epidemiology					
25 in the recent decades, I just haven't done it.					

- a problem here until we are forced to acknowledge it.
- Q. But my question is you are not able to
- 3 say one way or another whether slightly tumorgenic was
- 4 a more accurate description or less accurate
- description than does not appear to be carcinogenic
- 6 with regard to the IBT studies?
- 7 A. Well, you know, again, I think you have
- 8 to take it at face value. If they are the same thing,
- 9 if they were not trying to change the results, then
- all they are doing is finding a different way that
- seems to be less problematic of stating them and
- either they are trying to get IBT to change its
- 13 results which is one thing which I hope they weren't
- 14 trying to do or alternatively they were trying to find
- a less damaging way of talking about what IBT was
- observing.
- 17 Q. You have not reviewed the actual
- pathology of the IBT studies?
- A. No, I haven't.
- Q. You are not able to say that Monsanto was
- 21 trying to change the IBT conclusion from slightly
- tumorgenic to does not appear to be carcinogenic in an
- improper way?
- MR. JENSEN: Objection to form.
- A. I always think it's improper for an

### Page 169 1 industry to change the wording of people who are 2 trying to do an evaluation of research. I think it is 3 improper. Ο. Even if the change is more accurate? 5 Α. You know, again, that's going to have to 6 be left to pathologists to battle over, but this is 7 the way that that organization saw it stated and 8 unless there is absolutely clear reason to change it 9 from other pathologists I don't quite get why would 10 they be told to do this. I don't know who was telling 11 them. I don't really recall. If you could show me the 12 place where we see that because it would be 13 interesting to see whether pathologists were telling 14 other pathologists or whether it was somebody in the 15 administration of Monsanto that was suggesting this. 16 What year is that? 17 I believe it was 1972 or '73, but my 18 question is really a simple one and that is you don't 19 know which term is more accurate to describe what was 20 being shown in the IBT studies and that is the PCBs were slightly tumorgenic or that "it does not appear 21 22 to be carcinogenic", you don't know which one is more 23 accurate? 24 MR. JENSEN: Objection to form. 25 A. I would tend to stick with the scientists

Page 172 MR. JENSEN: Objection to form. 2 Again, I'm not a pathologist. I would 3 have to leave that to pathologists to answer. I don't 4 know. 5 Ο. It's possible for a substance to be or is 6 it possible for a substance to be tumorgenic, but not 7 carcinogenic? 8 A. I think in the 1970s there was actually a 9 debate about what those terms meant about what 10 carcinogenic and tumorgenic were and that's just a 11 historical debate. Obviously carcinogenic is a more 12 severe form of tumorgenic. Carcinogenic is pretty 13 much a cancer and tumorgenic may indicate a benign 14 growth or it may indicate a cancer. 15 Isn't the first indication from your 16 document review of the Monsanto documents that they 17 should have done earlier chronic testing of PCBs in a 18 December 19, 1977 radio interview where the Monsanto 19 president says, "Now it turned out that we didn't know 20 as much as we should and you can criticize the 21 company, the company can be criticized for its 22 scientific voids, but it acted in a very responsible 23 manner based on the information that it had"? 24 MR. JENSEN: Objection to form. 25 Α. What's the question?

1 We know that it has an affect on us in 2 the sense that since we got rid of it the bald eagle 3 Since we got rid of it all sorts of animal 4 life has begun -- has come back. That the absence of 5 this material has certainly had an impact on us. That 6 the fish kills in Lake Michigan or other places are 7 not necessarily, you know, happening quite the 8 regularity or Lake Erie is no longer a dead lake. 9 have indirect evidence of he fact that at least in the 10 United States getting rid of DDT was quite beneficial 11 to the society and to human beings. 12 There are arguments and I think there can 13 be valid arguments about Africa and South America and 14 other areas where malaria is really prevalent, but I 15 don't think many people would argue that getting rid 16 of DDT has been harmful to human beings in the United 17 States. I think most people would agree that we've 18 lived very well without it. I understand getting rid of DDT has been 19 0. 20 helpful to the bald eagle and certain other animals, 21 but that's one example that you gave. My question 22 really is are you aware of whether there is any 23 adverse impact on man through the daily absorption of 24 small amounts of DDT from food, water and air? 25 It's really outside my province. I think A.

- that epidemiologists have discussed that and there is
- a lot of people that think that DDT has been a problem
- and I cannot evaluate that argument. I think that
- 4 it's certainly been beneficial to human beings in the
- 5 sense that our environment and our children are not
- 6 being exposed to a chlorinated hydrocarbon that's
- 7 persistent and that is potentially a danger, it's a
- 8 danger to other forms of life. I'm not sure why it
- 9 would not potentially be a danger to us as well so I
- don't see any down side to having limited it at all,
- in fact a lot of upsides.
- 12 Q. I recognize the up sides. I take it, I
- 13 think you said this, but I want to make sure I
- understood it right that it's very difficult to prove
- with a compound like DDT that's everywhere and
- everybody has been exposed to it whether it harms
- human beings or not; is that accurate?
- MR. JENSEN: Objection to form.
- 19 A. Well, I mean it's something that has to
- 20 be paid attention to and it should be paid attention
- 21 to and there should be studies and I'm sure that there
- are studies that show danger. It's not something you
- can just make a statement like this regarding this.
- 24 This is persistent chronic exposure to a chemical
- that's known to be biologically -- to have biological

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Page 229
 1
                      CERTIFICATE
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 3
     STATE OF NEW YORK
                        ) ss.:
 5
     COUNTY OF NEW YORK )
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 7
                   I, SHARI COHEN, a Notary Public within
 8
     and for the State of New York, do hereby certify:
 9
                   That DAVID ROSNER, the witness whose
10
     deposition is hereinbefore set forth, was duly sworn
11
     by me and that such deposition is a true record of the
12
     testimony given by such witness.
13
                   I further certify that I am not related
14
     to any of the parties to this action by blood or
15
     marriage; and that I am in no way interested in the
16
     outcome of this matter.
17
                   IN WITNESS WHEREOF, I have hereunto set
18
     my hand this 21st day of December, 2011.
19
20
21
22
23
24
     SHARI COHEN
25
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# **EXHIBIT 3**

SUPERIOR COURT OF THE STATE OF CALIFORNIA FOR THE COUNTY OF LOS ANGELES
------x
JACQUELINE SMITH; VIRGINIA PIERCE; and
MARK RAMETTA,

Plaintiffs,

-against-

Case No. BC 459771

MONSANTO CO.; SOLUTIA, INC.; PHARMACIA CORP.; PFIZER, INC.; SOUTHERN CALIFORNIA GAS CO.; and DOES 1-350 Inclusive,

Defendants.

-----x

AND RELATED CASES

-----x

340 Madison Avenue New York, New York

January 4, 2013 9:00 a.m.

Videotaped Deposition of DAVID

ROSNER, before Shari Cohen, a Notary Public of the State of New York.

Page 23 1 Q. The type of information that historians 2 rely upon are documents which we've talked about? 3 Α. Yes. 4 They also rely upon conversations with 5 people involved in events if they can talk to them? 6 Sometimes if it's relevant, if not, it's Α. 7 not relevant. 8 What if the documents don't provide all 0. the information needed as to whether an event occurred 9 10 in the past? 11 MR. FRIELING: Objection to form. 12 Α. Just as with any science or social 13 science if evidence is not there and information is 14 not there, you try to make informed decisions based on 15 other evidence. 16 Based on other evidence? Q. 17 Yeah. Α. 18 Such as? 19 Α. Other documents, other statements, other 20 reports, other materials, other events that happened. 21 I'm not quite sure what you're trying to get at. 22 You've answered my question. 23 appreciate that. It's improper for historians to 24 speak to what was in the mind of an author of a 25 document, correct?

- MR. FRIELING: Objection to form.
- A. If the author has written down what was
- in their mind, you can write about it and you can
- 4 speak about it, but of course historians are not
- 5 futurists or not mind tellers, mind readers and of
- 6 course you cannot be in the mind of an actor, you have
- 7 no idea what various factors are operating. If they
- 8 wrote it down, you have a good sense of what was going
- 9 on in that person's mind.
- 10 Q. In that vein, if the author of a document
- was silent about her or his intentions, then it's not
- up to the historian to assume or suppose what those
- intentions might be, correct?
- MR. FRIELING: Objection to form.
- 15 A. Again, it depends on the circumstance.
- 16 It depends on the actor, their position in the
- 17 historical moment and historical context. What was in
- their mind may or may not be relevant to anything. I
- don't know.
- Q. My point was not whether or not it was
- 21 relevant or not. It's not up to the historian to put
- themselves in the author's position to determine what
- the author's intentions were granted if those
- intentions are not written in the document?
- MR. FRIELING: Objection to form.

- to cause historically chloracne, liver damage,
- pathological change in the liver, changes in blood.
- 3 Whatever they are, these are materials that have an
- 4 effect on the biology of human beings and animals and
- 5 I think that Monsanto would not disagree with that,
- 6 would they?
- 7 Q. Therefore, Doctor, do you have the
- 8 opinion that PCBs are toxic?
- 9 A. Yes, I think they are toxic.
- 10 Q. Can you name any substance that is not
- 11 toxic?
- 12 A. You can be buried by salt. You could be
- 13 killed by sugar. You could be hit over the head by a
- 14 loaf of bread. There are many -- that's such a
- general question. Everything in the world could be
- 16 considered toxic in some level.
- Q. Can you name any chemical that is not
- 18 toxic?
- A. Again, I'm not a chemist. I don't know
- how to define that for different people, but certainly
- 21 we live in a world in which we are assuming I hope
- 22 that most of the chemicals we come in contact with I
- hope that's the case otherwise we are really in
- 24 trouble are not toxic.
- Q. Is aspirin a chemical?

Page 76 1 MR. FRIELING: Objection to form. 2 Α. I'm saying --3 MR. FRIELING: Argumentative. 4 A. They were not looking at the issue. 5 were not finding problems and didn't look for 6 problems. 7 Ο. What issue should they have been looking 8 for? 9 Α. Whether or not this material was 10 potentially a danger after long term exposure of 11 populations. This is the issue that they define in 12 1937 as a possible problem that PCBs, diphenyls, 13 chlorinated diphenyls at the time were that chronic 14 exposures at unknown levels at low levels of exposure 15 were potentially a hazard. That's what they say. 16 What should they have done, sir, if that Q. 17 was an identified issue? What are you saying that 18 Monsanto didn't do? 19 We've gone over this in two previous 20 It goes over and over again. I refer to 21 that, but I'm not a biochemist, I'm not a 22 toxicologist, I'm not an industrial engineer, I'm not 23 an industrial mechanic. All I can say is that people 24 were doing long term studies of animals, they were 25 doing toxicological studies for long periods of time,

Page 84 there were PCBs identified in animals in the 2 environment? 3 MR. FRIELING: Objection to form. 4 0. I think that's what I asked. 5 that's what I'm asking now. 6 Α. Article on persistent chlorinated 7 hydrocarbons just talks about hydrocarbons as a class 8 and that's what was a concern at the time and PCBs are 9 part of that class. 10 DDTs would be part of that class? Q. 11 Α. Absolutely. 12 0. Tell me the difference between DDT and 13 PCB? 14 I could not. I'm not a chemist. 15 All I know is that they were being lumped 16 together as one class of chemicals. 17 Lumped together where? 0. 18 In the literature that talked about 19 chlorinated hydrocarbons. 20 0. You could not tell me how they are 21 similar or different? 22 No, I have no idea of the chemistry. 23 That's for a chemist to talk about. 24 Are there different risks or dangers? Ο. 25

You know, again, I really don't know.

- diligent about minor problems. They were saying they
- were trying to make their products safe and they were
- going to make sure that before it reached the consumer
- 4 it would be a safe product and people would have the
- 5 proper information and people would know and people
- 6 would be aware of how to use it and all the rest.
- 7 Q. Each company created that standard and
- 8 they did what they felt was appropriate for that
- 9 standard whether it included chronic animal testing or
- 10 not?
- MR. FRIELING: Objection to form.
- 12 A. I cannot read their mind. I don't know
- what Monsanto was thinking. Were they thinking that
- 14 all this evidence coming out about the chronicity of
- disease and PCBs as a chronic problem was not worth
- 16 investigating further because it had the word chronic
- in it. No, I think they were saying we will make sure
- 18 our product is safe and we know and we talked about in
- 19 the past chronic exposures being a possible hazard and
- we should be following up on that.
- Q. Where does it say we should be following
- up on that?
- A. Their mission statement was to make sure
- they were putting out a safe product and if you are
- going to test and you are going to make sure your

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Page 209
1
                      CERTIFICATE
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3
    STATE OF NEW YORK )
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                       ) ss.:
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    COUNTY OF NEW YORK )
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7
                   I, SHARI COHEN, a Notary Public within
8
    and for the State of New York, do hereby certify:
9
                   That DAVID ROSNER, the witness whose
10
    deposition is hereinbefore set forth, was duly sworn
11
    by me and that such deposition is a true record of the
12
    testimony given by such witness.
13
                   I further certify that I am not related
14
    to any of the parties to this action by blood or
15
    marriage; and that I am in no way interested in the
16
    outcome of this matter.
17
                   IN WITNESS WHEREOF, I have hereunto set
18
    my hand this 14th day of January, 2013.
19
20
21
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23
24
    SHARI COHEN
25
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# **EXHIBIT 4**

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IN THE CIRCUIT COURT OF THE COUNTY OF ST. LOUIS
            TWENTY-FIRST JUDICIAL CIRCUIT
        BEFORE THE HONORABLE STEVEN H. GOLDMAN
SYDELL DUBLIN, et al.,)
    Plaintiffs,
                     Cause No. 10SL-CC03822
VS.
                    ) Division 12
MONSANTO CO., et al.,
    Defendants.
_____
        REPORTER'S TRANSCRIPT OF PROCEEDINGS
                     VOLUME 7
                   JUNE 16, 2015
                    Session C
      Cont. of Cross-Examination of Dr. Rosner
       Reported by: Alicia A. Carter, RPR, CCR
______
For the Plaintiff:
                           For the Defendants:
                   For the Deтenuand
White & Williams
Mr. Thomas M. God
Allen Stewart, P.C.
Mr. Allen Stewart
                          Mr. Thomas M. Goutman
Mr. Scott R. Frieling
Mr. Steve Baughman Jensen Husch Blackwell
                           Ms. Robyn D. Buck
                           Mr. Adam Miller
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Williams, Kherkher Mr. Steven J. Kherkher

1	/The following proceedings were held in the	4	that and I'm trying to give you both a lot of leavey
	(The following proceedings were held in the	1	that, and I'm trying to give you both a lot of leeway
2	courtroom outside the presence of the jury.)	2	because of that. You guys know the case so much better
3	THE COURT: So, first of all, about this	3	than I do. This case is so much more involved than a
4	sniping with each other, you guys are all	4	typical case, maybe not for you guys. I'm not going to
5	professionals. And you're all good lawyers. And I	5	allow it as a learned treatise.
6	don't pretend to try and make you like each other or	6	MR. KHERKHER: Yes, sir.
7	get along with each other, but when we're here in the	7	THE COURT: I'm not saying you can't impeach
8	courtroom, there just can't be anymore cross-sniping at	8	people with other stuff.
9	each other. I'm just not going to allow that. I know	9	MR. GOUTMAN: I was just going to suggest,
10	you guys can abide by that. So that's that.	10	this witness has to catch a plane. Rather than argue a
11	And then the next thing is the book. So I	11	document that won't be in front of the Court until next
12	went over the book and the excerpts that were that	12	week or the week after
13	the plaintiff wants to use, as well as other parts of	13	THE COURT: Would you prefer that, doctor, to
14	the book.	14	rather than You have to come back anyway, so
15	I'm going to find that although the book, I'm	15	DR. ROSNER: It sounds like I'm going to have
16	sure is authoritative for the research base of it, that	16	to come back anyway. I'll figure that out with you,
17	part about what happened in the book, the dates and	17	Judge.
18	all, but it's just I'm not going to find that	18	THE COURT: So, the defense, you think your
19	It's historically correct, I assume, but I'm not going	19	cross, you're not going to get done with him this
20	to allow it to be used to impeach as a learned treatise	20	afternoon?
21	because I read a lot of the book over the lunch hour.	21	MR. MILLER: There's a chance, but I can't
22	It's really a political politically stated, whether	22	guarantee it.
23	it's true or not. It could be true. But it's	23	THE COURT: Okay. Well, we can leave it.
24	politically a statement as opposed to an authoritative,	24	What do you guys want to do? This is off the
25	neutral type source. And let's see the rest of my	25	record.
	1363		1365
1	notes here.	1	(A discussion was held off the record.)
2	I think it's too opinionated and	2	(The following proceedings were held in the
2	I think it's too opinionated and argumentative. It could cause speculation in areas by	3	(The following proceedings were held in the courtroom in the presence of the jury.)
	argumentative. It could cause speculation in areas by the type of writing that it is, the statements. It can		courtroom in the presence of the jury.)  THE COURT: We'll be in session. You may be
3	argumentative. It could cause speculation in areas by the type of writing that it is, the statements. It can cause too much speculation. It doesn't really deal	3	courtroom in the presence of the jury.)  THE COURT: We'll be in session. You may be seated. I've been told they're supposed to stop this
3 4	argumentative. It could cause speculation in areas by the type of writing that it is, the statements. It can cause too much speculation. It doesn't really deal specifically with Monsanto. I just think in any case	3	courtroom in the presence of the jury.)  THE COURT: We'll be in session. You may be seated. I've been told they're supposed to stop this noise completely at 1:20, but who knows if that'll
3 4 5	argumentative. It could cause speculation in areas by the type of writing that it is, the statements. It can cause too much speculation. It doesn't really deal specifically with Monsanto. I just think in any case the prejudice would outweigh the relevance of it, so	3 4 5	courtroom in the presence of the jury.)  THE COURT: We'll be in session. You may be seated. I've been told they're supposed to stop this noise completely at 1:20, but who knows if that'll happen. If it's too loud or if you can't hear
3 4 5 6	argumentative. It could cause speculation in areas by the type of writing that it is, the statements. It can cause too much speculation. It doesn't really deal specifically with Monsanto. I just think in any case	3 4 5 6	courtroom in the presence of the jury.)  THE COURT: We'll be in session. You may be seated. I've been told they're supposed to stop this noise completely at 1:20, but who knows if that'll
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3 4 5 6 7 8 9	argumentative. It could cause speculation in areas by the type of writing that it is, the statements. It can cause too much speculation. It doesn't really deal specifically with Monsanto. I just think in any case the prejudice would outweigh the relevance of it, so I'm just not going to allow the book.  MR. KHERKHER: Your Honor, we understand your	3 4 5 6 7 8 9	courtroom in the presence of the jury.)  THE COURT: We'll be in session. You may be seated. I've been told they're supposed to stop this noise completely at 1:20, but who knows if that'll happen. If it's too loud or if you can't hear something, let us know. You have to be able to hear the question and the answer.  And I know after having lunch and this comfortable courtroom, it's easy to dose off, so I
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- 1 that correct?
- 2 A. That's correct.
- 3 Q. And to your knowledge, doctor, based on your
- 4 review of the historical record, would you agree that
- 5 PCBs were not directly sprayed onto food crops to your
- 6 knowledge?
- 7 A. Well, again, I've seen it advertised as
- 8 insecticide and not on food crops, I guess, no.
- **9** Q. During the course of direct examination,
- **10** P-0188 was admitted into evidence. I wanted to ask you
- 11 some questions about this, doctor.
- 12 THE COURT: Adam, your voice is kind of -- Can
- 13 you all hear him? Your voice kind of drops.
- 14 MR. MILLER: My voice is dropping, and I'm
- 15 about to fall, so...
- 16 Q. (By Mr. Miller) Doctor, can you see the
- 17 document?
- 18 A. Yes. It's a little small, but I can see it.
- 19 Q. Okay. And just for our recollection, this is
- 20 the March 1961 Monsanto document entitled Monsanto
- 21 Aroclor Resins for Insecticide Formulations; is that
- **22** right?
- A. That's correct.
- Q. And it starts out with how the development
- 25 came to be that aroclors were suggested as use as a

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- 1 pesticide extender; is that correct?
- 2 A. That's what I believe, yes.
- 3 Q. Okay. Now, just as we read through this, I
- 4 want you to confirm that Aroclor 5460 is a
- 5 polychlorinated terphenyl and not a polychlorinated
- 6 biphenyl, correct?
- 7 A. Yes. In general, that's how it's referred.
- 8 Q. Okay. And it reads "A Discovery. A simple
- method of increasing the service life of expensive
- 10 lindane sprays was developed several years ago by the
- 11 U.S. Department of Agriculture scientists at their
- 12 Beltsville, Maryland testing station. The technique
- 13 was to incorporate Monsanto's Aroclor 5460, a resinous
- 14 chlorinated terphenyl in the lindane solution."
- So this indicates that the use of aroclors as
- 16 a pesticide extender was first developed by the United
- 17 States Department of Agriculture.
- 18 A. Yes.
- **19** Q. All right.
- A. Well, this is '61. That I'm not sure of.
- 21 Q. All right.
- A. I just don't know. I think that it was
- 23 advertised earlier than that as an extender in other
- 24 materials I've seen. I'm not sure.
- 25 Q. All right. Well, with respect to lindane

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- 1 sprays, it says the USDA scientists developed this
- 2 technique, correct?
  - A. That's what that says.
- 4 Q. All right. And if you'd refer to the
- 5 remaining portion of the document, there are various
- 6 so-called recipes that Monsanto, on page 3 refers to
- 7 experimental work and formulations. Do you see that?
  - A. I'm sorry?
- 9 MR. MILLER: May I approach the witness,
- **10** Your Honor?
  - THE COURT: Yes.
- 12 Q. (By Mr. Miller) I don't know what happened to
- 13 the exhibits up here, but it says "Experimental Work
- 14 and Formulations"?
- 15 A. Yes.
- 16 Q. And it refers to Aroclor 5460 formulations as
- 17 used in the USDA experiments, correct?
  - A. That's right.
- 19 Q. And below that, for application as an
- 20 emulsion, the recommendation is, again, 5460; is that
- 21 correct?
- 22 A. That's what it says therehere, yes.
  - Q. And on the next page, again, for use as
- 24 pressurized aerosol type sprays, Aroclor 5460 is a
- 25 terphenyl and not a PCB, correct, doctor?

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- 1 A. Yes. Of course, there's a little bit of PCB
- 2 in it, but basically it's a terphenyl.
- **Q**. By the way, doctor, at this period of time
- 4 would you confirm that it was not known that PCBs, a
- 5 tiny fraction would be remaining in PCTs?
  - A. That I can't say. I don't know.
- 7 Q. That was a scientific development that
- 8 occurred much later than 1961 as far as you recall?
  - A. Not that I know of. I just don't know.
- 10 Q. All right. Doctor, during direct examination
- 11 you were provided, and this was admitted into evidence,
- 12 P-3240. This is an advertisement from 1957 from the
- 13 journal Chemical Week, correct?
  - A. That's right.
    - MR. MILLER: Can you turn to the second page,
- 16 please, Scott.
- 17 Q. (By Mr. Miller) This, again, refers to
- 18 Aroclor 5460; is that correct?
- 19 A. In the top margin it does, yes.
- 20 Q. All right. And these two applications that
- 21 are depicted photographically, this doesn't indicate
- 22 that these are PCBs, correct, doctor?
  - A. It doesn't say either way.
- Q. And with respect to your testimony concerning
- PCBs as a pesticide extender -- Do you need a copy,

1	Steve?	1	determine whether there had been any leaks into food by
2	MR. JENSEN: I don't.	2	Therminol FR in heat exchange systems?
3	A. All I think that we did here was point out	3	A. If you can show me the document to refresh my
4	that it mentioned the PCBs 1254 for wet surfaces as a	4	memory. I'm not sure if it was a survey or just a
5	possible use.	5	questionnaire.
6	Q. (By Mr. Miller) As a possible use. So let's	6	Q. Doctor, I'm going to hand you P-0506.
7	just go back so we can refresh where we are. We're	7	THE COURT: Is that already in evidence?
8	looking at P-0155. This is the Technical Bulletin from	8	MR. MILLER: I don't believe it is.
9	December 1960; is that correct?	9	MS. BUCK: It is.
10	A. Yes.	10	MR. MILLER: It is? Do you need another copy,
11	Q. And it's called Aroclor Plasticizers. Will	11	Your Honor?
12	you confirm that for us, please?	12	THE COURT: No.
13	A. Yes.	13	Q. (By Mr. Miller) This is the January 3, 1969
14	Q. And if you turn to page 36, which is 074946,	14	document Therminol FR. FR refers to resistant; is that
15	this is the portion that you've highlighted for the	15	correct?
16	jury; is that correct?	16	A. Yes.
17	A. I'm sorry. Could you give me a minute? I'm	17	Q. Okay. Fire resistant then would refer to the
18	sorry.	18	application of Therminol in areas where fire resistance
19	Q. Yes?	19	was specifically important, correct?
20	A. Yes.	20	A. Yes.
21	Q. Okay. This section reads "Vapor Suppression	21	Q. All right. It reads, "Therminol FR system
22	(For Longer Insecticide Kill-Life)"; is that right?	22	experience and design considerations for food
23	A. That's right.	23	applications." And it reads, "We know of two metal
24	Q. And it discusses the use of, again, the	24	failures that allowed Aroclor/Therminol FR to contact
25	Polychlorinated Terphenyl 5460 as the aroclor for use	25	the food product. In both incidents, the cooking oil
	1371	l .	1373
1	as an insecticide extender. There's a little	1	and food product was discarded." I read that
2	discussion of something else at the bottom, but	2	correctly?
2	discussion of something else at the bottom, but primarily it's regarding 5460; is that correct?	2	correctly? A. Yes.
2 3 4	discussion of something else at the bottom, but primarily it's regarding 5460; is that correct?  A. Well, this is for hard surfaces, and they say	2 3 4	correctly?  A. Yes.  Q. All right. It goes on to read I'm going to
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	discussion of something else at the bottom, but primarily it's regarding 5460; is that correct?  A. Well, this is for hard surfaces, and they say at the bottom, "For non-crop insecticide formulations containing chlordane, other resinous aroclor compounds 1254, 1260, 1262, 4465 and 5442, also nonvolatile and sticky or tacky, likewise merit evaluation as insecticide extenders."  Q. Right. Now, would you agree with me, Dr. Rosner, that this document, and specifically the portion that you read, doesn't say anything about Monsanto recommending PCBs for use on crops, correct?  A. This is for non-crop insect formulations.  Q. Thank you. We talked about Therminol this morning and various applications in food processes, correct?  A. Yes.  Q. And we talked about the Yusho incident that occurred in Japan involving the Japanese manufacturers of PCBs, correct?  A. That's right.	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	A. Yes.  Q. All right. It goes on to read I'm going to read what wasn't highlighted here. "The contamination was discovered when the characteristic odor of Aroclor/Therminol FR was noticed." That indicates to you that Therminol FR had a characteristic odor that in certain circumstances would alert the user that there was a possible leak, correct?  A. I guess if there was enough of it, correct. Q. "These failures were the result of pinhole leaks in the exchanger piping. In one case, cooking oil caused the pinholes to occur in mild steel exchanger tubing, where Therminol FR-2 is used to heat the cooking oil to approximately 420 degrees Farenheit.  "In the second case, Therminol FR-1 leaked into a food fryer through a faulty weld site on 304 stainless steel pipe."  For my next act, ladies and gentlemen.  This indicates that there was some effort undertaken by Monsanto to determine how these leaks
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- Case 2:15-cv-00201-SMJ ECF No. 385-2 filed 01/28/20 PageID.17240 Page 27 of 51 1 Q. Monsanto was trying to learn under what correct? 1 circumstances these two metal failures allowed 2 A. As I said, you know, I don't generally report 2 Aroclor/Therminol to leak into oil, correct? when I break a glass. I just don't report it. I don't 4 A. Yes. 4 know who would report it. Q. All right. It goes on to read, "Two Q. Doctor, that wasn't the question I asked. 5 5 additional failures in Therminol heated food equipment 6 A. I don't know. 7 are noted where the heat transfer fluid did not contact 7 Q. I asked the question, do you know? 8 the food product or food contacted surfaces. One 8 A. I do not know. 9 failure was due to the expansion difference of two Q. All right. And we're not here to speculate. 9 metals, and the other failure was the result of poor A. I know. That's what I'm saying. I don't know 10 10 11 design, high temperature and low flow. It is also 11 how they got this information. You're asking me about a survey. This is not a survey. That's all. 12 interesting to note that not one failure has been 12 13 reported on the approximately 192,000 electrically 13 Q. All right. A. Some reports. heated stainless steel fry pans containing Therminol 14 14
  - FR-3." 15 So there are a couple of things being 16 discussed here. One is that there have been two 17 18 instances where Therminol was allowed to contact surfaces, correct, where food was -- food equipment 19
  - 20 was -- Well, let me start again. 21 It says, "Two additional failures in Therminol 22 heated food equipment are noted where the heat transfer 23 fluid did not contact the food product or food contacted surfaces." So what that's saying is there 24 was a leak, but it didn't touch food or food surfaces, 25

- 1 correct? A. Yes, in those two additional failures, that's 2 what it says. 3
- Q. All right. And in the bottom paragraph, the 4 memo refers to the West Bend fry pans that you 5
- discussed during direct examination, correct? 6
- 7 A. I guess.
- Q. And with respect to the 192,000 West Bend 8 fry pans, there hasn't been a single report that those
- 10 fry pans have leaked, correct?
- A. Right. I'm not --11
- Q. That's correct? 12
- 13 A. That's what it says, but you asked me the question about whether this was a survey. These are 14 just reports that they're getting in. They have no 15
- 16 idea what broke and what happened elsewhere. Just don't know. 17
- Q. Doctor, have you determined by looking at the 18
- West Bend fry company what their failure rate was with 19 respect to these products? 20
- 21 A. I don't know how you'd ever know if you broke that in your home. I just have no idea whether it 22 would be reported or not. 23
- Q. In any event, you know of no circumstance 24 where one of these West Bend fry pans leaked Therminol, 1376

- Q. But you have seen no document in the hundreds 15
- of thousands of pages you have reviewed that indicates 16
- that any of these West Bend fryers leaked Therminol 17
- 18 into food, correct?

20

23

- A. I don't know. 19
  - Q. But you do know that these fry pans had a very
- small amount of Therminol in a contained box. Is that 21
- 22 your understanding?
  - A. I don't know how they're made.
- 24 Q. All right. So we know from this document of
- four instances where Therminol has been reported to 25

1377

- have leaked, and in no instance has food been sold that
- contained Therminol in it as far as this document 2
- reports, correct? 3
- 4 A. As far as that document reports, yes.
- Q. All right. And it's true, doctor, that you 5
- know of no instance where food contaminated with 6
- 7 Therminol was sold and consumed prior to Monsanto
- getting out of that business in 1970; is that correct? 8
- A. Again, you know, the only instances we have 9
- 10 are the Frito Lay where they reported that they had it
- in their frying oil and that ostensibly something was 11
- cooked in it, but I have no idea whether it got into 12
- the food itself. 13
- Q. But you have no document whatsoever from the 14
- hundreds of thousands that you have reviewed that 15
- 16 indicates that Frito Lay sold one potato chip with --
- that had been cooked in oil contaminated with 17
- Therminol, correct? 18

19

- A. No, I have not.
- Q. Nor any other food manufacturer? You have no 20
- information that any food was sold that had been 21
- 22 contaminated with Therminol, correct, sir?
- 23 A. I have no information about that, other than,
- 24 of course, the Yusho experiment.
- 25 Q. Which occurred in Japan and did not include

Case 2:15-cv-00201-SMJ ECF No. 385-2 filed 01/28/20 PageID.17241 Page 28 of 51 1 Monsanto's Therminol, correct? 1 Q. And some of the information that is contained 2 A. That's correct. 2 in this type of a bulletin would be information about the solubility of various aroclors, PCBs? 3 Q. And, incidentally, there had been no report in 3 4 the United States of an event like Yusho, correct, sir, 4 A. They have various kinds of information, yes. where people were poisoned with PCBs that had been 5 Q. Various chemical characteristics and 6 contaminated in rice grain? properties of PCBs, correct? 7 A. No, there's not. 7 A. That's right. 8 Q. You mentioned -- Either you mentioned or 8 Q. And solubility refers to whether or not a particular material can be dissolved in a solution? Mr. Jensen mentioned with respect to this Saturday 9 9 Evening Post advertisement that you showed the jury, A. Yes. 10 10 that paints had been used to paint the interior of 11 Q. For example, if we put sugar in water, that 11 will dissolve, correct? 12 silos. And this morning you talked about various 12 reports in 1970 that interiors of silos had been 13 A. Yes. 13 14 painted with paint containing PCBs, correct? 14 Q. And when we do that, when we do that, we say that sugar is soluble in water, correct? 15 A. I believe it was the exterior of silos in that 15 A. Yes. 16 advertisement, but I may be wrong. 16 Q. Okay. That was a smoke stack, by the way. Q. Now, typically aroclors are not soluble in 17 17 18 A. Oh, was it? water. That was one of the huge benefits of aroclor? 18 Q. Yeah. 19 19 A. That's right. 20 A. Okay. 20 Q. Particularly PCBs, they were not soluble in 21 Q. All right. So let's talk about the silos. 21 water, correct? 22 Those reports were first received by Monsanto in 1970, 22 A. That's right. 23 correct? 23 Q. Which means when you put them in paint, like A. I believe so. the U.S. Navy did, or when industry put them on 24 24 25 Q. All right. And those reports in 1970 referred 25 vessels, in chemical plants, they would not dissolve in 1379 1381 to a paint that had Aroclor 1254 in it, correct? 1 water, correct, sir? 1 2 A. That's the number I remember also. 2 A. That's right. Q. All right. And would you confirm for us that 3 3 Q. And that was an enormous benefit to the Navy 4 Monsanto did not manufacture that paint, correct? 4 because you can keep your ships out in the ocean and A. I was -- Well, again, I don't know the various not have them in a dry dock as frequently, correct? 5 5 parts of Monsanto. I think it was probably some other A. I guess so. I suppose it happened. 6 6 7 company that bought it, but I don't really know that. 7 Q. And in a chemical plant it would be important Q. Doctor, are you familiar with the process in so that you could mix chemicals in vats without those 8 8 which silage ferments in silos? 9 9 chemical -- without the painted coating on those vats 10 A. No, I'm not. 10 being soluble in the material that you mixed, correct? 11 Q. Okay. I want you to assume hypothetically 11 A. I would assume so, yes. that when silage ferments, it produces something called 12 Q. All right. And would you agree with me that 12 acetic acid, all right? Will you assume that for me? in the product bulletins that Monsanto issued in the 13 13 14 I'll assume anything you want. 14 '50s and '60s, before it was ever understood that Q. Okay. And digging through some documents here 15 15 somebody had used Aroclor 1254 in silo paint, that 16 with the help of Miss Jeffery, do you recall, sir, that 16 Monsanto reported to its customers in these bulletins Monsanto's bulletins for its PCBs to be used as a that Aroclor 1254 was soluble in acetic acid? 17 17 plasticizers referred to the solubility of Aroclor 1254 18 18 Again, maybe that's the chart I recall. That's all I remember. 19 in acetic acid? 19 20 A. I remember some line in some chart, but that's 20 Q. This is Defendant's Exhibit D-4045. I'll hand 21 all I remember. this to you. 21 Q. And these bulletins as we discussed earlier --22 MR. JENSEN: May I have a copy? 22

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They looked like these. Here's one, Aroclor

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Plasticizers. This is a Technical Bulletin?

A. Yes.

23 MR. MILLER: Yes.
24 Here, Your Honor.
25 THE COURT: Thank you.
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1 Q. (By Mr. Miller) You've seen this document, 1 Q. And Monsanto also issued an environmental sir? You've reviewed, relied on it or considered it in 2 warning just after those reports were received, 2 connection with reaching your opinions in this case? 3 correct, sir? 3 4 A. Well, I've seen many like it, but I'm not sure 4 A. Yes. if I've seen this specific one. I don't see a date on 5 Q. All right. Now, I'm going to hand you D-4045 5 this. that I think Your Honor just admitted into evidence. 6 7 Q. This one is dated 1970. 7 This is the Technical Bulletin from May of 1974, 8 A. 1970. Okay. 8 Aroclor Plasticizers, correct? MR. MILLER: Your Honor, I'd ask for the 9 A. Yes. It looks like it's the same. 9 admission of D-4045. Q. And if you would, sir, would you turn to the 10 10 11 MR. JENSEN: No objection. 11 second to last page. 12 THE COURT: 4045 will be received in evidence. 12 A. It says "District Sales Offices"? 13 Q. (By Mr. Miller) My crew is shouting to me. 13 Q. Too far. I'm sorry. There is a paragraph Doctor, I want to show you also P-0155. This, I'm entitled "Environmental Hazards". Previous page. All 14 14 15 being told, is already in evidence. 15 right. MR. MILLER: May I approach, Your Honor? MR. MILLER: All right. Would you blow that 16 16 17 THE COURT: Yes. up, Mr. Watson. 17 18 Q. (By Mr. Miller) And, doctor, I don't mean to 18 Q. (By Mr. Miller) Let's read that. Now, this cramp you here. But there is a table very similar to is from May of 1970, correct, sir? 19 19 20 the one that we looked at before. It's on page 40, 20 A. Yes. 21 MONS 074950. It will be up on your screen. 21 Q. "Environmental Hazards. Aroclor 1232, 22 A. Okay. Thanks. 22 Aroclor 1242, Aroclor 1248, Aroclor 1254, Aroclor 1260, Aroclor 1262, Aroclor 1268, Aroclor 4465 23 Q. And, incidentally, doctor, this is a Technical 23 Bulletin from December 1960; is that right? and Montar 1 all contain polychlorinated biphenyls 24 24 A. That's right. (PCB) of various types and in varying amounts. PCB 25 25 1383 1385 Q. If you look at the Table 8 that we have here 1 1 residues in small amounts have been found in the entitled "Solubility" -- That's not going to work. environment and some studies have indicated that they 2 2 3 MR. MILLER: Scott, can you zoom in on -- I'm may be harmful to certain forms of animal life. 3 sorry. Table 13. Perfect. No, just the top portion 4 4 Extreme care should therefore be taken by all users of of it. Thank you. PCB containing products to prevent any entry into the 5 5 Q. (By Mr. Miller) Do you see down at the second environment through spills, leakage, use, disposal, 6 6 7 entry, it says, "Acetic Acid"? 7 vaporization or otherwise. Further, the products in A. Is that the first one or the second one? which PCB materials are used or which are formulated 8 8 Q. It's the first one under "Acid." It's called using PCB materials as a component, should be given 9 9 10 "Acetic Acid". 10 careful study to eliminate the possibility that PCB A. Yes. 11 11 might reach the environment as a result of use in a 12 Q. All right. And this table would indicate that given application. 12 Aroclor 1254 is soluble in acetic acid. That's what 13 "Some specific applications where the use of 13 that "S" indicates there? PCB should definitely be avoided are in paints and 14 14 A. Yes. sealants for swimming pools, paints and waterproofing 15 15 16 Q. All right. Now, there came a time, as you 16 agents in silos and other buildings where food products 17 mentioned earlier, that it was recorded in 1970 that for humans or animals are stored, and as a component of 17 PCBs had been used in silos, and Monsanto undertook any container or wrapping used in the packaging of food 18 18 some response; is that correct? products", correct, sir? 19 19 A. I'm not sure what you're referring to, but I'm 20 20 A. Yes. 21 sure they did. 21 Q. Now, would you agree with me, Dr. Rosner, that 22 Q. Okay. One of the things they did is they 22 in terms of our environmental awareness in the United 23 contacted the people in Ohio and Georgia where these 23 States, that this is the first warning that you have reports had been made, correct? seen of an environmental hazard using a chemical 24 24 25 A. Yes. 25 product? 1384 1386

1 A. Well, I mean, chemical products are supposed 1 Q. And the date of this is 1941; is that correct? 2 A. 1942, I believe. 2 to have warnings going back to the '40s. There were all sorts of American Standard Association warnings. 3 Q. '42. I apologize. This is a review of 3 There were all sorts of recommendations from the MCA. 4 abstracts relating to testing that had been done, 4 There were National Safety Council warnings. There toxicological testing on various compounds, correct? 5 5 6 were lots of warnings. 6 A. That's right. 7 Q. This was the first warning that you are aware 7 Q. And the reason they were put into this of about an environmental hazard associated with an 8 compendium is because whether or not the test was done 8 9 industrial product that was not intended to be sprayed 9 for the purpose of detecting cancer, there were reports on crops, correct, sir? in these studies that might reflect that cancers could 10 10 11 A. Well, again, I think we all know -be caused by these materials? 11 12 Q. Is that correct, sir? 12 THE COURT: I'm sorry. We have to take a 13 A. Not really, no. I mean, if you want me to 13 break for the jury. MR. MILLER: Okay. 14 answer, I can answer that, but it's not really correct. 14 THE COURT: Ladies and gentlemen, we will have 15 Q. You ever seen a warning like this on 15 industrial products not intended to be sprayed on 16 16 a recess. crops? Is that your testimony? 17 17 (The Court duly admonished the jury.) 18 A. Not like this. But I think we all know about 18 THE COURT: We'll be in brief recess. 19 tetraethyl lead, used to be leaded gasoline. And there 19 (A recess was taken.) 20 are always signs on the side of leaded gasoline about 20 THE COURT: Mr. Miller, you may resume your 21 not breathing the material in, about the dangers of 21 cross-examine. 22 lead. These go back to the 1920's. I mean, it's not 22 MR. MILLER: Thank you, Your Honor. I just 23 the only thing. 23 wanted the record to reflect that I spilled my coffee 24 Q. This is the first warning you are aware of on the inside of the lectern. I apologize to 24 25 where a manufacturer of an industrial product put on 25 Your Honor. 1387 1389 a -- in a bulletin like this a warning about the 1 Q. (By Mr. Miller) Dr. Rosner, we were talking 1 environmental hazards associated with the product, 2 before our break about the Hartwell compendium that's 2 3 correct, sir? 3 Exhibit 2401. This is one of the documents that you 4 A. Well, maybe I'm misunderstanding what you mean 4 showed the jury in connection with the standards that by environmental, but if you're talking about the you proposed with respect to industrial conduct and 5 5 dispersal of a toxin into the atmosphere, certainly 6 testing of products, correct? 6 7 lead, tetraethyl lead was considered a toxin, and it 7 A. Yes. was not supposed to be -- it was supposed to be handled 8 Q. All right. Would you confirm for me, 8 Dr. Rosner, that nowhere in this document is there a 9 very carefully because it was dangerous. 9 10 Q. Well, this is a worry about the risks 10 suggestion or requirement stated that all products be 11 associated with material affecting specifically the 11 tested for cancer? environment, and this is the first warning you're aware 12 A. No. 12 of, sir? 13 Q. Incidentally, at the time that this compendium 13 was prepared, there were thousands and thousands of 14 A. No. Again, I'd have to think carefully about 14 that. I can't really answer that, but certainly this products in commerce. Would you agree with that? 15 15 16 is a period of greater environmental awareness, and 16 A. Certainly. this would be an example of that. Q. And thousands and thousands of chemicals in 17 17 commerce at that time? 18 Q. I want to talk to you, doctor, about these 18 standards that you talked about with the jury A. Yes. 19 19 20 yesterday. You talked about this document called the 20 Q. And would you agree with me that the compendium only refers to tests being taken on about 21 Hartwell compendium. It looks like this, P-2401. 21 22 A. Thank you. 22 696 chemicals, correct? 23 Q. This is the Hartwell compendium you spoke 23 A. That's right. Q. So there were thousands and thousands of 24 about yesterday with the jury? 24 25 A. Yes. chemicals in commerce that had not been tested for 1390 1388

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- 1 cancer; is that right?
- **2** A. I would assume so, yes.
- 3 Q. All right. Now, of the products that --
- 4 Sorry -- the chemicals that were tested, you referred
- 5 specifically to one called thorium dioxide, correct?
- 6 A. Did I?
- 7 Q. Yes. You showed the jury this table.
- 8 A. Oh, let's see. Oh, I see.
- 9 Q. Page -- Let me tell the page.
- 10 A. It was an example of.
- 11 Q. Right. PLTEXP034288. It's page 27 of the
- 12 exhibit, correct?
- 13 A. I'm sorry. Could you just point it out to me
- 14 again? I don't know what you're referring to.
- **15** Q. Sure. You showed the jury this table?
- **16** A. Yes.
- 17 Q. Which if you look on the previous page --
- 18 A. Yes.
- 19 Q. -- it's a continuation of a table for a
- 20 chemical called, at the very top, 29, thorium dioxide.
- 21 A. I see.
- 22 Q. Would you confirm for us that thorium dioxide
- 23 was a compound that was known to be radioactive?
- 24 A. I just don't know. That sounds like it could
- 25 be. Thorium was.

### 1391

- 1 Q. Thorium was. And in the early part of the
- 2 20th century would you confirm for us that radioactive
- 3 compounds were already known to cause cancers in humans
- 4 who were working with them?
- **5** A. Well, thorium, I'm not sure. Radium certainly
- 6 was. I'm not sure about thorium at that point, but
- 7 that makes sense.
- 8 Q. Thank you. Would you also confirm that a
- 9 number of compounds for which tests are recorded in the
- 10 Hartwell index or compendium were chemicals called
- 11 polycyclic compounds?
  - A. Yeah. I'm sure that's in here.
- 13 Q. In fact, there are about 180 different studies
- 14 about polycyclic compounds, correct?
- 15 A. I would assume so. I mean, I'd agree with
- 16 that. I don't have any knowledge. I mean, I don't --
- 17 I never counted them, but I would take your word for
- **18** the 180.

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- 19 Q. And would you agree with me that polycyclic
- 20 compounds like benzo(a)pyrene back in the 1930's was
- 21 known to cause cancers in workers who were exposed to
- 22 that material?
- A. Well, benzene compounds had been identified,
- 24 benzene containing compounds. Benzene is a structure
- 25 which had long been identified as a potential

- 1 carcinogen.
  - Q. Well, let's take a step back here.
- 3 Benzo(a)pyrene was a chemical constituent of soot,
- 4 correct?
- A. Yes. I accept that, yes.
- 6 Q. And soot had been identified for over a
- 7 hundred years before the Hartwell compendium as causing
- 8 cancers in individuals who worked around it, correct?
  - A. Probably a couple hundred years back.
- 10 Q. A couple hundred years. So another compound
- 11 in the Hartwell compendium are heterocyclic compounds,
- 12 correct?
- A. I would guess so. You tell me.
  - Q. I just told you. And would you agree with me
- 15 that by 1930 it was well known that these heterocyclic
- 16 compounds were causing cancers in the workers who
- 17 worked with them or exposed to these materials?
- 18 A. I'm sure it was suspected. I'm not sure what
- 19 was known in the workforce.
- 20 Q. Another group of compounds included in the
- 21 Hartwell compendium are aniline dyes, correct?
- 22 A. Yes.
  - Q. And aniline dyes, would you confirm for us,
- 24 were also known by the early 1900's and particularly by
- 25 1930 to cause cancer in workers who handled those

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- 1 aniline dyes, correct?
- 2 A. In Germany, yes.
  - Q. And there are dyes in the Hartwell compendium
- 4 that were tested called azo dyes, correct?
- **5** A. Again, I take your word for it.
- Q. And by the 1930's azo dyes were also known to
- 7 cause bladder cancer in workers who handled azo dyes,
- 8 correct?
  - A. That makes sense, but I'm not sure about that.
- 10 Q. And another group of compounds tested in the
- 11 Hartwell compendium were a group of chemicals called
- 12 steroids or steroid derivatives?
- 13 A. Again, you're telling me. I don't know what's
- 14 in the compendium.
  - Q. Could you confirm for us that by the 1930's
- 16 these steroid and steroid derivatives were already
- 17 known to cause cancers in humans who were exposed to
- 18 them frequently?
- **19** A. Again, I'm not sure about that.
- 20 Q. All right. So we've identified a number of
- 21 compounds that were being tested for their
- 22 carcinogenicity that had already been established as
- 23 being causes of cancers in humans and workers who
- 24 worked around them, correct, sir?
- 25 A. Certainly. I'm sure there were lots of

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- 1 compounds.
- 2 Q. Now, would you agree with me, Dr. Rosner, that
- 3 in the 1930's -- through the 1930's there had been no
- 4 reports by Monsanto that its workers who worked in the
- 5 PCB departments at Anniston or Krummrich had excess
- 6 cancers?
- **7** A. That was the point. It was being developed at
- 8 this moment, yes.
  - Q. I'm sorry. Could you answer my question?
- 10 A. Yes.
- 11 Q. Could you confirm that there was no excess
- 12 reporting?

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- A. Again, I don't know that for a fact, but I
- 14 wouldn't expect it to.
- 15 Q. And in companies like General Electric and
- 16 Westinghouse and NCR and other companies that were
- 17 exposing workers to PCBs day in and day out, there
- 18 weren't reports in the 1930's that their workers were
- 19 contracting excess cancers, correct?
- 20 A. I don't know that anyone looked for it, so I
- 21 don't know.
- Q. And that's true in the 1940's, correct, that
- 23 there hadn't been reports by the end of the 1940's that
- 24 workers handling and using PCBs day in and day out at
- 25 their work were experiencing excess cancers, correct,
  - 1395
- 1 sir?

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- 2 A. Not that I recall.
  - Q. And that's true for the 1950's. PCB workers
- 4 at Monsanto, Sauget and Krummrich -- I'm sorry --
- 5 Sauget and Anniston and GE workers and Westinghouse
- 6 workers, they weren't reporting excess cancers among
- 7 their workers, correct?
- 8 A. Well, again, I really don't know what their
  - reporting system was. All I can say is that Monsanto
- 10 kept this informal list of people who were developing
- 11 cancers all over the place. So I don't know if they
- 12 ever figured out if they were excess or not. I don't
- 13 think they were. It was a very informal list.
- 14 Q. Have you heard or seen any documents in the
- 15 hundreds of thousands that you have reviewed that
- 16 suggests that General Electric was reporting to
- 17 Monsanto that its capacitor manufacturing workers who
- 18 worked day in and day out in PCBs up to their elbows
- 19 had excess cancers?
- A. I don't know about your depiction of how they
- 21 worked with them. Monsanto, I don't know -- I assume.
- 22 I have no idea whether there was any reporting system
- 23 at all
- Q. You haven't seen any report, any document that
- 25 says General Electric is reporting to Monsanto that
  - 1396

- they have workers who work with PCBs every day who have
- 2 excess cancers? You haven't seen any such document?
  - A. No.
- 4 Q. And you haven't seen any such document about
- 5 Westinghouse or NCR, correct?
  - A. No.
- 7 Q. And that's true of the 1960's, correct, sir?
  - A. That's right. I don't think I saw them in the
- 9 1970's or '80s either about Westinghouse or those
- 10 companies.
- 11 Q. Thank you. Now, let's take a step back about
- 12 the Hartwell compendium. Would you agree with me,
- 13 doctor, that there isn't a section in this compendium
- 14 that says, here's the specific method you should use to
- 15 test your product for cancer?
- 16 A. No. These are all just searching for
- 17 literature.
- 18 Q. And you haven't seen any document or any
- **19** portion of this document that says here are the number
- 20 of species that you need to use in order to test for
- 21 cancer, correct?
- A. No. Some were individual rats. Some were
- 23 mice. Some were one animal. Some were observational
- 24 reports. It's a wide variety of materials.
- 25 Q. The Hartwell compendium doesn't tell you how

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- 1 long to conduct such a test, correct?
- A. No. Just at least a month.
  - Q. At least a month. Thank you. Does the
- 4 Hartwell compendium say anything about whether cancer
- 5 testing was a standard in the industry in the 1940's?
  - A. No. Just that it was being done.
- 7 Q. I want to turn your attention to another
- 8 document that you relied on for the proposition that
- 9 there were standards for testing. This one is P-1126
- **10** from 1942.

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- 11 A. I don't think I said standards for testing. I
- 12 said there are standards for good behavior.
- 13 Q. Okay. Well, let's evaluate some. So this is
- 14 a document published in the Industrial Hygiene
- 15 Foundation of America -- What did you call it? A
- 16 proceedings from the Seventh Annual Meeting of Members?
- 17 A. It's the report, the Industrial Hygiene
- 18 Foundation of America, Seventh Annual Meeting of
- 19 Members.
- 20 Q. And this was written by a
- 21 Dr. Francis R. Holden; is that correct?
- 22 A. That's right.
- Q. Would you confirm for me that there is no
- 24 mention in this document that long-term cancer tests
- were the standard in the industry?

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1	A. No.	1	decisions about what it had to do. It was supposed to
2	Q. Do the words "cancer" or "chronic" even appear	2	look for dangers of its product. That's what it was
3	in this document?	3	supposed to do. If it was appropriate to do long-term
4	A. No.	4	testing, that's what they should be doing. That's what
5	Q. It did say I think you mentioned that it	5	the standard was.
6	said every new chemical or product should be	6	Q. Dr. Rosner, on December 13, 2011, your
7	investigated as to its toxicity before it is prepared	7	deposition was taken in connection with these matters;
8	in large amounts to be released in public, correct?	8	is that correct?
9	A. That's right.	9	A. 2011?
10	Q. All right. This was 1942; is that right?	10	Q. Yes.
11	A. Yes, I believe it was.	11	A. I guess so, yes.
12	Q. And PCBs had been marketed by Monsanto and	12	Q. I'd like to refer you, doctor, to page 138,
13	used by General Electric and Westinghouse for 12 years	13	lines 18 to 24. This is a deposition that you sat in.
14	at the time, correct, or more?	14	You gave testimony under oath that day; is that
15	A. Well, it was created in 1930, and I don't know	15	correct?
16	how heavily marketed it was.	16	A. Oh, certainly.
17	Q. And you know that during the 1930's And	17	Q. The same oath that was administered to you by
18	we'll talk about this in a little bit there were	18	our court reporter this morning, correct?
19	toxicity testing undertaken by Monsanto of PCBs and	19	A. That's right.
20	other compounds?	20	Q. And you were asked the following question:
21	A. Well, that's the Drinker studies that you're	21	"QUESTION: You cannot say one way or another whether
22	referring to?	22	it was common practice for chemical companies to do
23	Q. Yes.	23	long-term, chronic animal tests prior to 1970?" And
24	A. Yes.	24	your answer was, "I cannot say one way or the other
25	Q. All right. You also mentioned a document by	25	because I have not studied the entire world of chemical
	1399		1401
-		+	
1	Hueper.	1	industry"; is that correct? That was the testimony you
2	Hueper. A. Wilhelm Hueper.	2	industry"; is that correct? That was the testimony you gave under oath that day, correct, sir?
3	Hueper.  A. Wilhelm Hueper.  Q. Dr. Hueper was a gentleman that came over to	2	industry"; is that correct? That was the testimony you gave under oath that day, correct, sir?  A. That's what I just said. I talked to you
2 3 4	Hueper.  A. Wilhelm Hueper.  Q. Dr. Hueper was a gentleman that came over to the United States and worked at DuPont; is that	2 3 4	industry"; is that correct? That was the testimony you gave under oath that day, correct, sir?  A. That's what I just said. I talked to you about the chemicals I know.
2 3 4 5	Hueper.  A. Wilhelm Hueper.  Q. Dr. Hueper was a gentleman that came over to the United States and worked at DuPont; is that correct?	2 3 4 5	industry"; is that correct? That was the testimony you gave under oath that day, correct, sir?  A. That's what I just said. I talked to you about the chemicals I know.  Q. Doctor, there's no question on the floor.
2 3 4 5 6	Hueper.  A. Wilhelm Hueper.  Q. Dr. Hueper was a gentleman that came over to the United States and worked at DuPont; is that correct?  A. Originally, yes.	2 3 4 5 6	industry"; is that correct? That was the testimony you gave under oath that day, correct, sir?  A. That's what I just said. I talked to you about the chemicals I know.  Q. Doctor, there's no question on the floor.  And, doctor, would you confirm for me that you
2 3 4 5 6 7	Hueper.  A. Wilhelm Hueper.  Q. Dr. Hueper was a gentleman that came over to the United States and worked at DuPont; is that correct?  A. Originally, yes.  Q. And did he work at a laboratory called the	2 3 4 5 6 7	industry"; is that correct? That was the testimony you gave under oath that day, correct, sir?  A. That's what I just said. I talked to you about the chemicals I know.  Q. Doctor, there's no question on the floor.  And, doctor, would you confirm for me that you have no document that you can show this jury today that
2 3 4 5 6 7 8	Hueper.  A. Wilhelm Hueper.  Q. Dr. Hueper was a gentleman that came over to the United States and worked at DuPont; is that correct?  A. Originally, yes.  Q. And did he work at a laboratory called the Haskell Laboratories?	2 3 4 5 6 7 8	industry"; is that correct? That was the testimony you gave under oath that day, correct, sir?  A. That's what I just said. I talked to you about the chemicals I know.  Q. Doctor, there's no question on the floor.  And, doctor, would you confirm for me that you have no document that you can show this jury today that you brought with you or that you found in the last
2 3 4 5 6 7 8 9	Hueper.  A. Wilhelm Hueper.  Q. Dr. Hueper was a gentleman that came over to the United States and worked at DuPont; is that correct?  A. Originally, yes.  Q. And did he work at a laboratory called the Haskell Laboratories?  A. Yes.	2 3 4 5 6 7 8	industry"; is that correct? That was the testimony you gave under oath that day, correct, sir?  A. That's what I just said. I talked to you about the chemicals I know.  Q. Doctor, there's no question on the floor.  And, doctor, would you confirm for me that you have no document that you can show this jury today that you brought with you or that you found in the last eight years looking at materials relating to this
2 3 4 5 6 7 8 9	Hueper.  A. Wilhelm Hueper.  Q. Dr. Hueper was a gentleman that came over to the United States and worked at DuPont; is that correct?  A. Originally, yes.  Q. And did he work at a laboratory called the Haskell Laboratories?  A. Yes.  Q. And that was a laboratory that was operated by	2 3 4 5 6 7 8 9	industry"; is that correct? That was the testimony you gave under oath that day, correct, sir?  A. That's what I just said. I talked to you about the chemicals I know.  Q. Doctor, there's no question on the floor.  And, doctor, would you confirm for me that you have no document that you can show this jury today that you brought with you or that you found in the last eight years looking at materials relating to this matter, you have no document that says cancer testing
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1 MR. JENSEN: Your Honor, I have an objection. 1 Q. Let's talk about -- We're going to get to the I don't think this is proper impeachment. There's not 2 Drinker study. So let's talk about the Drinker study. 2 been a prior inconsistent statement. 3 In the Drinker study in 1937, 3 4 MR. MILLER: Why don't I ask the question 4 Dr. Cecil Drinker from Harvard conducted toxicological tests using animals, exposing them to compounds that again? 5 5 included PCBs and chlorinated naphthalenes, correct? 6 THE COURT: All right. 6 7 Q. (By Mr. Miller) So you don't have a document 7 A. Yes, I believe so. that says long-term cancer testing was the standard in 8 Q. All right. And the doses that were 8 the industry from the 1930's, correct? administered to the laboratory animals were very high. 9 9 A. I'm sorry. Where are you? 10 Would you agree with that? 10 11 Q. I'm on page 260, line 11. Your answer is on 11 A. They were more than you would normally get. lines 14 and 15. 12 12 Of course, they're high, yes. You need to have a 13 A. Okay. That's right. Okay. Yes. 13 response in a very short period of time. Q. Okay. We don't have a document in the 1940's 14 14 Q. In fact, doctor, those tests exposed the that says cancer testing was the standard in the 15 15 laboratory animals to doses of PCBs and naphthalenes industry, correct? tens of thousands to hundreds of thousands of times 16 16 A. That's right. No, I don't believe so. 17 higher than average daily human intake. Would you 17 18 Q. All right. And we don't have one document agree with that? 18 from the 1950's that says cancer testing was the 19 19 A. I would hope so, yes. 20 standard in the industry, correct? 20 Q. All right. And the reason why very high doses 21 A. That's right. Again, that's what I've been 21 in these studies are used is because you want to see a 22 saying. 22 response, and so giving a low dose might not result in 23 Q. And we don't have one document from the '60s 23 a response. You want to see a result, so you give a that says the standard in the industry was to test for very high dose, correct? 24 24 25 cancer, correct, sir? 25 A. That's why you give different levels of doses 1403 1405 1 A. I think that's what I've been answering all 1 and different time lengths, and that's why you try to design a study that will detect some change at some 2 along here. 2 level, so you get a sense of whether or not this is a 3 THE COURT: I think he did testify to that. 3 4 That's what your objection was. 4 material that can cause change biologically. MR. MILLER: Thank you, Judge. Q. Not only whether you can determine whether the 5 5 Q. (By Mr. Miller) Now, doctor, I want to refer material causes change biologically, but at what dose 6 6 7 back to some of these early tests that Monsanto did. 7 those biological changes occur, correct? And you understand that during the course of the period 8 A. Well, at what dose for that animal, for what 8 of time that we've been talking about from the 1930's period of time. I mean they're not going to substitute 9 9 10 through the late 1970's Monsanto conducted over 300 10 the amount for the length of time. You can't keep an 11 toxicological tests of PCBs, correct? 11 animal alive for 40 years and watch them at low doses. 12 A. I believe short-term tests, yes. 12 You give them a large amount in -- a relatively large 13 Q. But 300 of them? amount for a short period of time because the animal 13 A. Yes. 14 14 only lives, at most, for two years. Q. All right. Now, when we talk about these 15 So you have to kind of compensate for the fact 15 16 early cancer tests or any toxicological tests, even 16 that the animal will not live for a long time for them those that are done in modern times today, very high to develop long-term cancers or whatever, by giving 17 17 doses of the chemical are used in the assay, correct? them high doses in hoping of finding some sort of 18 18 And the assay's the test? physiological change in a short period of time, and 19 19 A. Again, it depends on the model, the design 20 20 what that will be is the question. 21 they're using in developing the test. There are some 21 Q. Right. And one of the purposes of these who do get very high doses, but depending upon the 22 tests, including Dr Drinker's test, was to determine at 22 model, you might have low dose, middle dose, high dose. 23 23 what dose workers could work safely with this material There are all sorts of models that are being used for 24 24 and at what dose over that level dangerous levels could 25 epidemiological studies. 25 arise, correct, sir?

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1 one down talks about PCBs. A. They were hoping to establish a safe level of 1 A. Well, so does the first one. The first 2 exposure, yes. 2 Q. All right. Now, let's take a step back. paragraph also talks about PCBs. 3 3 Doctor, I want to hand you D-4186. 4 Q. Okay. All right. 4 THE COURT: It's already in evidence, right, 5 MR. MILLER: Go back to the first paragraph, 5 6 the Smyth article? 6 please. 7 MR. MILLER: Yes. 7 A. No. Q. (By Mr. Miller) Doctor, and just for our 8 MR. MILLER: Sorry, Scott. Bottom left. 8 recollection, this is a report of a study by 9 9 There you go. Dr. Henry Field Smyth, M.D., Ph.D., from the University Q. (By Mr. Miller) Two chlorodiphenyl 10 10 of Pennsylvania, correct? preparations, C11H. Do you know what that is? 11 11 12 A. At that point he was an assistant professor, 12 A. H9Cl, I guess that is. 13 yes. 13 Q. Okay. And that's a polychlorinated biphenyl? Q. And the date of -- I'm sorry. Did you finish 14 14 A. No. The second one is. your answer? 15 Q. The first one is called monochlorodiphenyl? A. Yes. A. I'm sorry. These are mono. Yes, you put two 16 16 Q. And the date of the study is 1931, correct? of them together, it would be bichlorinated. 17 17 18 A. Yes. 18 Q. So it's a monochlorbiphenyl were tested, and both proved slightly toxic. Slightly, correct? 19 Q. And Dr. Smyth was conducting animal studies on 19 20 various compounds. Would you agree with that? 20 A. Yes. 21 A. Yes. 21 Q. All right. "The 2-chloro compound killed in 22 Q. All right. And he was exposing animals to a 22 from -- The next paragraph -- "20 to 40 hours in a dose 23 variety of compounds, mostly benzene containing 23 of 2.5 grams per kilo, with mild convulsions. And the compounds; is that correct? 4-chloro compound killed in 40 hours in a dose of 24 24 25 A. Sort of a wide variety, a wide range of 25 3.5 grams per kilo, with no convulsions. Both were fed 1407 1409 compounds, some of which we may have considerable 1 in pastes as they were apparently insoluble. Here the 1 information, but others that we don't know. That's all 2 position of the chlorine atom seemed to govern the 2 3 degree of toxicity." All right? 3 I can tell you about it. A. Yes. 4 Q. One of the compounds administered to the 4 laboratory animals in the study was polychlorinated Q. The next paragraph actually refers to, doctor, 5 5 biphenyls, correct? polychlorinated diphenyls. And in this paper it's 6 6 7 A. Yes. 7 referred to as polychlorodiphenyls, correct? Those are Q. And the doses that Dr. Smyth administered to PCBs, correct? 8 8 the laboratory animals in connection with this study 9 A. Well, all I can say is you're playing with 9 10 were enormous. Would you agree with that? 10 words. The fact is they're -- PCBs are often referred 11 A. Well, if you'd point me where he says 11 to as chlorinated diphenyls in other documents that enormous, but they obviously had to be high from what you've shown me and that we've used. So we're talking 12 12 you would normally -- At that point you wouldn't about one chlorinated diphenyl. And in this case over 13 13 14 normally come in contact with this material, so 14 here, one 4-chlorinated compound, which would be a whatever it would be, it would be high. polychlorinated version of that. 15 15 16 Q. Well, as a matter of fact, doctor, Dr. Smyth 16 This second group is about two fed these animals the equivalent of four grams per polychlorinated diphenyls, the definite composition of 17 17 kilogram, correct? which were undecided, proved nontoxic. So they don't 18 18 know what those were. These above them, they do know, 19 A. That's right. 19 20 Q. So if you turn to page 93, it's got 5078 at 20 and those caused convulsions. the bottom. You go to the right -- Well, down at the 21 21 Q. These two polychlorinated diphenyls -- I'm 22 bottom left it refers to diphenyls and diphenyl sorry -- polychlorodiphenyls, the definite compositions 22 23 derivatives, correct, on this section? 23 of which were undetermined, proved nontoxic in doses of 24 A. Yes. 4 grams per kilogram, correct? 24 25 Q. Okay. Go to the next paragraph. The second 25 A. That's right. 1408 1410

1 Q. Four grams per kilogram would be the 1 Dr. Flinn here as dermatitis, correct? A. Yes. equivalent for you and me of over a cup of PCBs, 2 2 correct? 3 Q. And what we know that to be is a condition 3 A. I guess if you multiplied your weight by 4 called chloracne? 4 A. That's right. 4 grams per kilo, yes. 5 5 Q. All right. So that is about feeding these Q. Now, Swann went to Dr. Flinn because at the 6 6 7 animals a cup of PCBs, and in that case they proved 7 time he was a rather prominent figure in occupational nontoxic, correct? 8 health, correct? 8 9 A. In that case, yes. 9 A. He was -- He had been known for lead research Q. So Dr. Smyth is reporting to the scientific previously, and now he was working on this, yes. 10 10 community that these two polychlorodiphenyls can be fed Q. But he was at some -- I don't know. What was 11 11 to these laboratory animals the equivalent of a cup of the institution called? Columbia University? 12 12 13 PCBs, and they would appear, at least in his test, to 13 A. That's right. be nontoxic, correct, sir? Q. No slouch, since you're a professor there 14 14 15 A. Yes. The definite composition of which was 15 yourself? undecided. That's what was distinguishing, what he A. That's right. 16 16 says in this section which is called "Diphenyl and Q. Swann at the time went to one of the foremost 17 17 18 Diphenyl Derivatives." That's what he's 18 scientists from one of the most premier institutions in 19 distinguishing. 19 the world to learn about what was causing their workers 20 Q. You've also referred in your testimony to a 20 to have this dermatitis, correct? May 25, 1934 report of Dr. Frederick Flinn of patch 21 21 A. Yes. tests made on natural -- material received from 22 22 Q. All right. And Dr. Flinn conducted what are called patch tests, correct? 23 Swann Research, Incorporated, correct? 23 A. Yes. A. That's right. 24 24 Q. And describe for the jury what a patch test 25 Q. And the object of the investigation undertaken 25 1411 1413 by Dr. Flinn was to determine what the cause of various 1 is. 1 dermatological conditions were that Swann was A. Well, basically it's taking a material and 2 2 experiencing at that time? putting it on the skin, on the shaved part of the skin 3 3 A. That was part of it, yes. of a rabbit and taking it off after a short period of 4 4 Q. Well, he refers to a condition called time and seeing whether there's any reaction on the 5 5 chloracne, correct? 6 skin. 6 A. Right. He says, "The object of this 7 7 Q. Dr. Flinn used large white rabbits, correct? investigation was to determine whether or not the 8 A. That's right. 8 various chlorinated diphenyl compounds" -- Again, it's Q. And he conducted these tests for the purpose 9 9 10 the same term they used in the previous document, 10 of determining if he could find out what was the cause of this chloracne, right? chlorinated diphenyls -- "compounds submitted or some 11 11 12 A. That's right. impurities contained therein might be the causative 12 Q. And he -agent producing the dermatitis which had developed 13 13 among some of the workmen in the plant." That's what MR. MILLER: Scott, could you please go to the 14 14 the objective is. 15 15 second page. 16 Q. So, again, Swann was the predecessor of 16 Q. (By Mr. Miller) This lists, does it not, the Monsanto? various tests, and it goes on for a couple of pages, 17 17 A. Yes. that Dr. Flinn conducted? 18 18 Q. Swann was in Anniston, Alabama? A. Yes. 19 19 Q. He used various substances and he used various 20 20 Q. Anniston, Alabama is where Monsanto first mixtures of substances to try to determine what was the 21 21 22 started making PCBs, correct? 22 specific cause of the chloracne that was arising in the 23 A. Yes. 23 workers that were working in the PCB department at Q. And in the 1930's, very early on, they had an 24 24 Swann? outbreak of a dermatological condition described by 25 A. Yes. 1414 1412

1 Q. And he reached conclusions, did he not? 1 In any event, he's saying it's the styrene A. Yes. impurity in your material that's causing your workers 2 2 Q. Would you turn to the last page. He writes in to have chloracne; is that right? 3 3 4 the second paragraph there, "One cannot feel that any 4 A. He believes that. He feels that. styrene compound which may be found to be present as an Q. He was a toxicologist, preeminent, at Columbia 5 University in the 1930's? 6 impurity is the cause of your trouble." What he's referring to here is that at the time benzene was a 7 A. Uh-huh. 7 Q. A guy that you would want to go to if you had primary compound used in the preparation of chlorinated 8 8 an occupational dermatologic problem at that time, 9 biphenyl, correct? 9 A. I guess so, yes. 10 correct? 10 11 Q. And at the time Swann was receiving its 11 A. If you wanted to know about 24-, 48-hour patch 12 benzene supply that was contaminated with styrene. And 12 tests, yes. 13 Dr. Flinn is reporting that it is the styrene 13 Q. Did you see anywhere where Dr. Flinn contaminant in the PCBs or in the benzene that is recommended that Swann or, later, Monsanto, do any 14 14 causing these workers to develop their chloracne, other kind of test? 15 15 A. No. 16 16 17 A. That's one line. You could read the first Q. You're familiar with a paper by Drs. Flinn and 17 18 paragraph as well. 18 Jarvik. It's here, D-5848, Action of Certain 19 Q. Sure. Let's read it all. 19 Chlorinated Naphthalenes on the Liver? 20 MR. MILLER: Scott, would you blow up the 20 A. Yes. 21 first paragraph. Make it a little bigger, please. 21 THE COURT: Is it in evidence? 22 Q. (By Mr. Miller) "One is impressed with the 22 MR. JENSEN: It's in evidence as a plaintiff's 23 fact that each of the aroclors giving a positive 23 exhibit, I believe, Your Honor. reaction were of a fluid nature. Attempts were made to 24 Q. (By Mr. Miller) Okay. And the date of this 24 document is 1936; is that right? 25 expose the animals to vapors, but observations made us 25 1415 A. Again, I'm not sure. I believe that's right. conclude that the only difference was that the animal 1 1 would be exposed to the hot material, and it was well Q. All right. And Drs. Flinn and Jarvik are also 2 2 known that the reaction where such an exposure is given at the Columbia University, correct? 3 3 A. Yes. 4 is more severe." 4 Q. And they report that three cases of yellow 5 So he says we're not going to be exposing them 5 to the vapors because there might be a problem, so atrophy of the liver have occurred in each of three 6 6 7 we're not going to figure out what the cause of this 7 widely separated plants and under different management within a year or two. chloracne is. 8 8 9 A. Right. 9 Let's stop right here. What he's referring to 10 Q. Let's use the patch test, right? 10 in terms of these plants are plants owned and operated by a company called Halowax, correct? 11 A. Yes. 11 Q. But then he goes on. "One cannot feel" --12 A. I believe so. 12 "One cannot but feel that any styrene compound which Q. All right. And Halowax was a company that was 13 13 may be found to be present as an impurity is the cause manufacturing at the time wire coatings; is that right? 14 14 of your trouble. I was rather surprised that more of A. Yes. 15 15 16 the compound submitted did not show or give reaction 16 Q. And the wire coatings that they were with the skin. It has been shown in some manufacturing were coatings that prevented wires from 17 17 overheating and causing fires, correct? 18 investigations that chlorine did not produce a 18 dermatitis when metal like" --19 A. That's what I assume. 19 Q. All right. And they were using a chemical 20 THE COURT: "Metallic." 20 Q. (By Mr. Miller) -- "metallic" -- Thank you, called naphthalenes, correct? 21 21 Your Honor -- "electrodes were used, but did if the 22 A. That's right. 22 Q. Polychlorinated naphthalenes, right? 23 metallic electrodes were replaced by carbon electrodes. 23 24 The theory was advanced that some organic chlorine 24 A. That's right.

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compounds were produced in the latter case."

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Q. And they were also using PCBs in their

Case 2:15-cv-00201-SMJ ECF No. 385-2 filed 01/28/20 PageID.17251 Page 38 of 51 1 facilities, correct? 1 A. Yes. A. I would assume so. He doesn't mention PCBs in Q. So Drs. Flinn and Jarvik are saying, this, 2 2 this report. This is about naphthalenes, but I assume with the history of the industrial cases, points to its 3 4 there were PCBs around there. 4 being -- chlorinated naphthalenes being a possible Q. In any event, Drs. Flinn and Jarvik evaluated cause in these factory cases. No other materials used 5 5 three cases of individuals who had a condition called in the factory was found to produce a lesion", correct? 6 6 7 yellow atrophy of the liver, correct? 7 A. That's what it says. A. Yes. Q. All right. Doctor, I want to hand you D-4574. 8 8 Q. Would you confirm for us that yellow atrophy You're certainly familiar with this paper. It is 9 9 of the liver, at least as you understand it from a written by Dr. Cecil Drinker, Madeleine Warren, 10 10 Granville Bennett. It's dated September 1937? position of historical -- history of science and 11 11 medicine, that that is not cancer? A. Yes. 12 12 13 A. It's a -- It's what it says. It's atrophy of 13 MR. MILLER: Your Honor, this has previously the liver. It's not cancer. been published as Plaintiff's 11-A. 14 14 THE COURT: All right. Thank you. 15 Q. It's not cancer. 15 A. As it's defined here. Q. (By Mr. Miller) This is the Drinker paper 16 16 Q. And these two researchers conducted first published in 1937 that we've talked about -- you 17 17 18 evaluations of various chlorinated naphthalenes to talked about yesterday with plaintiffs counsel and we 18 determine what the cause of the yellow atrophy was mentioned a few minutes ago; is that right? 19 19 20 among these workers; is that correct? 20 A. Yes. Q. And this was published in the Journal of 21 A. That's right. 21 22 Q. And they did animal experience -- experiments, 22 Industrial Hygiene and Toxicology, correct? A. That's right. 23 correct? 23 A. Yes. 24 Q. And at the time in 1937 this was a brand new 24 industrial hygiene journal? 25 Q. And these experiments dosed these animals with 25 1419 very, very high levels of chlorinated naphthalenes; is A. Well, it started in 1930 or thereabouts, '29 1 1 or '30. that right? 2 2 A. Again, I'm sure they must have to get results 3 Q. All right. It was in its infancy. 3 in a short period of time. Drs. Drinker -- And was it his brother, another 4 4 Q. In fact, they injected these rabbits with the Drinker? 5 5 naphthalenes? A. Phillip, yes. 6 6 A. Yes. Q. And Phillip Drinker started this journal; is 7 7 Q. And they concluded, did they not, that the that right? 8 8 substance responsible for the yellow atrophy of the A. Yes. That's essentially -- They're the 9 10 liver among the three workers who suffered from it at 10 editors, first editors. Q. And this became the premier journal of 11 Halowax was chlorinated naphthalenes. It doesn't 11 industrial hygiene and toxicology in the country during 12 mention PCBs at all, correct? 12 13 A. It doesn't mention PCBs. It's a paper about that era? 13 A. It certainly was a major journal, yes. 14 naphthalenes. 14 Q. Turn to page 120, last page. These test Q. All right. Drs. Drinker, Warren and Bennett 15 15 reports from the evidence obtained from 30 rabbits all were hired by the Halowax company, correct? 16 16 show the same pathological picture. We feel that A. Yes. 17 17 certain chlorinated naphthalenes or impurities Q. They were hired to follow up on the work by 18 18 contained within them are capable of producing yellow the researchers whose paper we just reviewed, Flinn and 19 19 atrophy of the liver in the rabbits, correct? Jarvik; is that right? 20 20 21 A. That's right. A. And, again, I'm not sure of the origins of it, 21 22 Q. This, with the history of the industrial 22 but certainly they had a long-term interest in it, and this was another paper that -- or another group they 23 cases, points to its being a possible etiologic agent 23

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asked to investigate it.

in the factory cases. And etiology refers to cause,

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correct?

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Q. You need to keep your voice up.

1 A. I'm sorry. This was another group they asked injecting naphthalenes or PCBs into white rabbits, but 1 to investigate it. I'm not sure of the origins of how 2 by exposing them to vapor, correct? 2 they got to them. 3 A. I think it was both ingestion and vapor. 3 4 Q. Let's talk about Dr. Drinker. He started this 4 Q. It was both ingestion and vapor. Let's talk journal. It became the premier journal of industrial 5 about the first test. The first test involved vapors; 5 hygiene and toxicology in the 1930's and '40s. And he is that correct? 6 7 was a rather significant figure in the field of 7 A. Well, I'm not sure it was the first test, but industrial hygiene and physiology, correct? 8 certainly vapors were a part of the study. 8 A. Yes. 9 Q. Now, one of the interesting aspects of this q 10 Q. In fact, he was -paper is that Drs. Drinker, et cetera described the 10 11 MR. MILLER: If you could blow that up, Scott. methods that they used in order to expose the animals 11 Q. (By Mr. Miller) He was at the Department of to the vapors of the materials they were exposing them 12 12 13 Physiology, Harvard School of Public Health and the 13 to, correct? A. That's right. Department of Pathology at the Harvard Medical School 14 14 in Boston, Massachusetts? Q. And they go through the trouble of explaining 15 15 A. Yes. that they had to heat these materials up to very, very 16 16 Q. And you would agree with me, doctor, that if high temperatures just to get the vapors at a 17 17 18 you had a problem in your work force involving a 18 sufficient concentration to expose the animals for the potential -- a potential exposure issue, this is the purpose of the test? 19 19 20 guy, this is the group that you would want to go to for 20 A. That's right. Q. And the reason they had to do that is because 21 advice? 21 these materials don't readily volatilize, correct, 22 A. Well, it's certainly a very, very, very 22 respectable group, and I always like to go to them for 23 23 doctor? any kind of advice. 24 A. I believe that they're pretty stable. I 24 Q. He was well known. He was well recognized. believe that they -- I've since seen information that 25 25 1423 1425 He was considered an authoritative figure in the field 1 they may volatilize very, very slowly. I just can't of occupational health and toxicology at the time? really answer that very specifically. I think that, 2 2 3 A. Yes. 3 you know, in general you have to heat them pretty high Q. And he was dean of the -- although it doesn't to get any kind of significant volatilization. 4 4 say there, dean of the Harvard School of Public Health? Q. Let's talk about volatility. Volatility is a 5 5 A. That's correct. very simple concept that expresses the degree to which 6 6 Q. And would you agree with me that this journal, a substance like a liquid is going to evaporate, 7 7 this Journal of Industrial Hygiene and Toxicology 8 correct? 8 was, as it was becoming the premier journal, widely 9 A. Well, evaporate or go into the air. 9 10 read by industrial, medical officers and directors in 10 Q. Go into the air. Okay. That's an even major companies around the country? simpler term. For example, alcohol or acetone goes 11 11 A. I would assume that it was widely read by into the air very, very rapidly, correct? 12 12 toxicologists and people who were in charge of medical 13 A. That's right. 13 issues at various corporations. I'm not sure that the Q. We call that rapid volatilization, correct? 14 14 executives read it or not or depended upon their 15 A. Some people do. 15 16 medical personnel to get this information, but 16 Q. Water will volatilize. If I have a cup of 17 certainly it was a widely distributed journal. water about this size, open up the top, in about a day 17 Q. And, again, Drs. Drinker, Warren and Bennett or so, maybe two, the water is going to completely 18 18 were looking at the issue of yellow atrophy of the evaporate, correct? 19 19 liver in these Halowax workers, correct? A. Yes. 20 20 21 A. That's right. Q. If I have an Aroclor 1242, 1254, 1268, 1262, 21 22 Q. Now, these researchers also conducted animal and I put it here, tomorrow I'm going to have just 22 experiments? about the same amount? 23 23

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A. Yes.

Q. They conducted animal experiments not by

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Q. Because it volatilizes very, very slowly,

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A. Just about, yes.

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correct?
        A. That's right.
 2
 3
        Q. And if I come back in a week, I'm going to
    have just about the same amount that I left here,
    correct?
 5
        A. Probably.
 7
        Q. And if I come back in a month or two or a
    year, it's pretty much going to be the same as the
    amount that I left on the table, correct?
10
        A. That I can't attest to, but it's very stable.
11
         Q. Very stable. It volatilizes very, very
    slowly, and that's why these doctors had to heat this
    material up to extraordinarily high temperatures, just
13
    to expose the animals?
14
15
        A. That's right.
             MR. MILLER: Your Honor, did you want to take
16
    a break at this time?
17
             THE COURT: We'll take a short recess. The
18
19
    admonition about not talking about the case is in
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    effect.
21
                     (A recess was taken.)
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